


Application Form for Purchase of Repo (For Non – Personal Customers)
Secondary Market Transactions

 <p>Fully owned subsidiary of National Savings Bank Accredited Primary Dealer Appointed by Central Bank of Sri Lanka No. 400, Galle Road, Colombo 03 Tel: 011 242 5010 Fax: 011 257 4387</p>	For Office Use Only							
	Date							
	Customer Reference No.							
	Stock Reference No.							
	Cost of Investment							
	Yield							
	Cost per Rs. 100/-							
	Date of Sale							
	Days to Maturity							
	Customer Risk Rate		Low		Medium		High	
	Officer's Signature							
	Manager's Signature							

Section A – Basic information of the Organization

1.Name of the Company / Organization: (In BLOCK Letters)					
2.Address		The document provided to support the Address verification			
3.Business Registration No. / Act No					
Telephone / Mobile	(i)	(ii)	(iii)		
E-mail	(i)	(ii)	(iii)		
Fax	(i)	(ii)	(iii)		
4. Purpose of the investment and the Usage					
<input type="checkbox"/> Savings <input type="checkbox"/> Remittances <input type="checkbox"/> Social & Charity work					
<input type="checkbox"/> Investment purposes <input type="checkbox"/> Business transactions <input type="checkbox"/>					

5.Does the company / organization have any linkage ownership with U.S.? ☐ Yes ☐ No
(If “Yes” FACTA Declaration has to be submitted along with this Application Form)

Section B – Investment Instructions

Face value of the Securities provided: Rs.	
Period of Investment: <input type="checkbox"/> 3 Months <input type="checkbox"/> 6 Months <input type="checkbox"/> 12 Months <input type="checkbox"/> Other.....	
Amount Lend / Borrowed: Rs.	Agreed Rate:

Mode of Payment		Bank Account Name:		
<input type="checkbox"/> Cash	Cheque No.		Other	
<input type="checkbox"/> Cheque	Bank		Branch	

Operating Instructions ☐ Board Resolution Dated:

Instructions at Maturity
☐ Reinvest Principal with/ with-out interest:
☐ Reinvest the same Face Value & Pay the Upfront Interest:
☐ Do not Reinvest:

Maturity Proceed / Interest to be paid		Bank Account Name:		
<input type="checkbox"/> Cash	A/C No.		Other	
<input type="checkbox"/> Cheque	Bank		Branch	

General terms and conditions

We hereby declare that the information given above is true and correct.

We agree to abide by the Central Bank and NSBFMC rules and regulations / terms and conditions procedures and any amendments made thereto from time to time by the government taxes payable thereon or at such other rate or rates of interest as NSBFMC may from time to time stipulate.

Adhering to “Central Bank “Customer Charter “We agree to submit new applications/written reinvestment instructions **seven** days prior to the maturity date .

And We are aware that due to my/our failure to provide written reinvestment instructions on time/non issuance or non-availability of government securities, the NSBFMC bears no responsibility for reinvestment or any losses arising out of it.

We undertake to give prior instructions for any changes in maturity instructions, **seven (7) calendar days** prior to the Maturity Date by Written or through registered email address.

We are aware that premature withdrawal policy would be market rate + margin applicable at discount time subject to the company funding availability.

We are aware that in the event of my/our failure to claim proceeds sent by the NSB FMC within 90 days of such payment made, NSBFMC shall transfer such proceeds to the Central Bank as required by Directions issued by the Central Bank .

We agree to inform the NSBFMC immediately of any changes of address/contact details or any other relevant information.

We are aware that in joint investments, all joint investors must sign all documents on government security transactions and in joint investments survivorship rule will be applied.

We agree to inform the NSBFMC of any discrepancy in transactions relating to my/our investments within 14 days of such transaction

We agree to provide all documents and other information required by the NSB FMC/ regulator for the purposes of engaging in Government Securities transactions.

We do hereby provide my/our consent to and irrevocably permit NSB FMC to verify my/our NIC details with the *Department for Registration of Persons* and/or any other Authorized digital platforms.

We also understand that NSB FMC will only use electronic methods to send (only to the main holder) investment confirmations to provided email address and will not send hard copies of investment confirmations to my/our corresponding address.

We do hereby provide our consent to receive copies of the **execution of this Transaction without signatures** sent by facsimile transmission or as a “PDF” (portable document file) attached to an electronic mail message or other transmission method and we also understand that any counterparty so delivered is deemed to have been duly and validly delivered and are valid, fully enforceable, and effective for all purposes without a manually executed original. (If needed can produce signed documents on written request.)

We here by affirm that General Terms and Conditions overleaf have been read and understood.

Risk Disclosure

I/We hereby declare that I/We understand that my/our investments are subject to market and/or interest rate risks and volatility, and the NSB FMC shall not hold any responsibility or liability for the same.

..... Name Signature Name Signature
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Date

Office use only

Activity	Signature
MRA Availability YES / NO	
Funds Received	
Investment Creation / Front Office Authorization	
Investment Authorized	
Confirmation Generated – System	
Documents filed	