

Know Your Customer (KYC) Profile Form (For Personal Customers)

Mandatory "Know Your Customer" (KYC) information required by the FTR Act No. 6 of 2006.



Fully owned subsidiary of National Savings Bank
No. 400, Galle Road, Colombo 03

For Office Use Only

Date	
A/C No	
Division	
Officer's Signature	
Manager's Signature	

Section A – Basic information of the Individual including of those holding power of attorney

✓ Tick the appropriate boxes

1. Name in full:

2. NIC Number / Valid Passport Number:

3. Do you have an investment account at NSB FMC: Yes No

4. Nature of Business:

5. Occupation/Employment/ Position Held:

6. Name & Address of Employer:

7. Status of the Residential Address:

Ownership of premises Owner (A) Lease/Rent (C) Friends/Relatives (E)
 Parent's (B) Official (D) Board/Lodging (F)

8. Permanent Address:

9. Foreign Address (if any):

10. Citizenship:

Sri Lankan (A)
 Sri Lankan with dual citizenship (B)
 Foreign National with dual citizenship (C)
 Foreign national (D)

Resident in Sri Lanka
 Non-Resident
Country of Residence

For (B) (C) and (D)

Country of Birth:

Nationality:

Type of Visa Permanent Residence Green Card
 Temporary Residence
(Specify)

Expiry Date:

11. In case of Foreign Passport Holders, give the purpose of opening the account in the foreign jurisdiction:

12. Purpose of Opening the Account:

Employment/Professional income Remittances Social & Charity work
 Savings Business transactions
 Investment purposes

13. Source of Funds: [Expected source and nature of credits into the account]

Salary/Profit/Professional Income Export Proceeds Sale of Property/Assets
 Sales and Business Turnover Donations/Charities Gifts
 Rent Income (Local/Foreign) Commission Income
 Remittances Investment Proceeds

14. Anticipated Volumes: [Expected/Usual average volumes of deposits into the account in Rs per month]

- Less than Rs.200,000 (or equivalent FC value) Rs.500,001 to Rs.1,000,000 (or equivalent FC value)
 Rs.200,001 to Rs.500,000 (or equivalent FC value) Over Rs.1,000,000 (or equivalent FC value)

15. Expected Mode of Transactions/ Delivery Channels:

- Cash Standing Orders Foreign Remittance
 Cheque SLIPS/ Wire Transfer /RTGS All mode of forms

16. Other Connected Businesses /Professional Activities (if applicable):

17. Expected Types of Counterparties (if applicable)

18. Operating Authority of the Account

- Myself/ Ourselves Others (Specify)
 Power of Attorney Name / Address / NIC Number
 Guardian

Section B -Mandatory checks (Office Use Only)

1. Name, Date of birth and Nationality verification: To be supported by one of the following accepted documents.

- National Identity Card Valid Driving License
 Valid Passport Others (Specify)

2. Address verification: Residential address to be supported by one of the following accepted documents
(Note - Mobile Phone Bills/ Statements of other Banks are not accepted)

- National Identity Card Voting Card issued within one month Letter from a public Authority
 Tenancy/Lease Agreement (with billing proof of owner) Current Utility Bill (Electricity / Water/ Fixed Phone/ Pay TV) Income Tax Receipt/ Assessment Notice
 Valid Driving License Employment Contract A letter from Gramaniladhari (counter signed by Divisional Sec.)

3. Are you or any member of your family a Politically Exposed person (PEP)? Yes No

Individuals in Sri Lanka or abroad who are or have been entrusted with prominent public function such as Head of State or of Government, Senior Politicians, Senior Government, Judicial or Military Officials, Senior Executives of State owned Corporations, important Political Party Officials, excluding middle ranking or junior officials in the foregoing categories.

4. Are you a U.S. Person? Yes No

“A citizen of U.S.A. (including an individual born in the U.S. but resident in another country, who has not renounced U.S. citizenship). A lawful resident of the U.S. (including a U.S. Green Card Holder), A person residing in the U.S., A person who spends a certain number of days in the U.S. each year, U.S. corporations estates and trusts, any entity that has a linkage ownership to U.S. or to U.S territories Non-U.S. entities that have at least one U.S. person as a "substantial beneficial owner”

Note: In the event of any changes to the above stated information must be duly notified to NSB Fund Management Company Limited, No. 400, Galle Road, Colombo 03.

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Date

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Customer's Signature