

# Know Your Customer (KYC) Profile Form (For Non-Personal Customers)

Mandatory "Know Your Customer" (KYC) information required by the FTR Act No. 6 of 2006.



Fully owned subsidiary of National Savings Bank  
No. 400, Galle Road, Colombo 03

## For Office Use Only

Date	
A/C No	
Division	
Officer's Signature	
Manager's Signature	

## Section A – Basic information of the Account

✓ Tick the appropriate boxes

**1.Name of the Organization:**

**2.Business Registration No:**

**3.Nature & Purpose of Business /Organization:**

**4.Do you have an investment account at NSB FMC:**  Yes  No

**5.Local Address:**

**6.Foreign Address (if any):**

**7.Countries involved in the Business (if any):**

**8.Purpose of Opening the Account:**

- Business  Social & Charity  Others (Specify) .....
- Investment  Trust

**9. Source of Funds:** [Expected source and nature of credits into the account]

- Sales and Business Turnover  Contract Proceeds  Investment Proceeds
- Commission Income  Donations/Charities (Local/Foreign)  Membership Contributions
- Export Proceeds  Profit/Professional Income  .....

**10.Anticipated Volumes:** [Expected/Usual average volumes of deposits into the account in Rs per month]

- Less than Rs.500,000 (or equivalent FC value)  Rs.1,000,001 to Rs.1,500,000 (or equivalent FC value)
- Rs.500,001 to Rs.1,000,000 (or equivalent FC value)  Over Rs.1,500,000 (or equivalent FC value)

**11.Expected Mode of Transactions/ Delivery Channels:**

- Cash  Standing Orders  Foreign Remittance
- Cheque  SLIPS/ TT/ Wire Transfer /RTGS  All mode of forms

**12.Other Connected Businesses /Professional Activities / Expected Type of Counterparties:**

(Indicate in brief; Major Customers/Suppliers and Other Connected Parties) (if applicable). (if applicable):

**13.Assets owned by the Business / Organization and the value**

Property / Premises	Rs.
Motor Vehicles	Rs.
Financial Assets	Rs.
Investments	Rs.
Others (Specify)	Rs.

**14.Does the business / entity have any foreign investors? (i.e. a Foreign Citizen / Dual Citizen/ Non-Resident)  Yes  No**

Name	Country	Percentage of Investment

**Section B -Mandatory checks (Office Use Only)****1. Customer Type:**

- |   |  |  |  |
|---|--|--|--|
| <input type="checkbox"/> Proprietorship | <input type="checkbox"/> Public Company  | <input type="checkbox"/> Clubs/ Societies    | <input type="checkbox"/> Trust/Charities |
| <input type="checkbox"/> Partnership    | <input type="checkbox"/> Private Company | /Associations                                | <input type="checkbox"/> NGOs/NPOs       |
|   |  | <input type="checkbox"/> Govt/Institute/Bank |  |

**2. Required documents in the case of Proprietor/Partnership:**

- Copy of the business registration                       Proprietor's /Partners' information (KYC Form)

**3. Required documents in the case of Corporations/Limited Liability Company**

- |   |   |   |
|---|---|---|
| <input type="checkbox"/> Certificate of Incorporation | <input type="checkbox"/> Lists of subsidiaries and affiliates | <input type="checkbox"/> Beneficial ownership declaration |
| <input type="checkbox"/> KYC of all Directors         | <input type="checkbox"/> Details of names of the signatories  | <input type="checkbox"/> Bank references (if applicable)  |

**4. Required documents in the case of Clubs, Societies, Charities, Associations, Trust and Non-Governmental Organizations**

- |  |  |  |
|--|--|--|
| <input type="checkbox"/> Copy of the Constitution /Charter etc                         | <input type="checkbox"/> KYC for all related parties                           | <input type="checkbox"/> Trust Deed (For Trust Accounts Only)        |
| <input type="checkbox"/> Committee or Board Resolution authorizing the account opening | <input type="checkbox"/> Other connected institutions/associates/organizations | <input type="checkbox"/> Ministry approval (for NGOs/NPOs/Charities) |
| <input type="checkbox"/> The source and level of income/funding                        |  |  |

**5. Are the Office Bearers / Directors (including family and close associates) Politically Exposed person (PEP)?  Yes  No****6. Does the organization has any linkage ownership with U.S.?  Yes  No**

**If answer is "YES" have you filled FATCA Declaration form?  Yes  No**

*Note: In the event of any changes to the above stated information must be duly notified to NSB Fund Management Company Limited, No. 400, Galle Road, Colombo 03.*

<p>.....</p> <p><b>Date</b></p>	<p>.....</p> <p><b>Customer's Signature</b></p>
---------------------------------	---